



Compliance Management System: ISO  
37301 Lead Auditor



7 - 11 October 2024  
Milan (Italy)



# Compliance Management System: ISO 37301 Lead Auditor

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## Introduction:

By utilizing widely accepted audit principles, processes, and techniques, you can execute compliance management system CMS audits by taking the Certified 37301 Lead Auditor training course.

To ascertain whether their policies, procedures, and controls comply with ISO 37301 criteria, many firms look for qualified auditors. This training program aims to assist you in effectively completing these tasks and to highlight the significance of efficient CMS audits. Additionally, this training course intends to improve your knowledge and abilities so that you can plan and execute CMS audits based on the certification procedure outlined in ISO/IEC 17021-1 and the auditing principles for management systems provided in ISO 19011.

This training course's exercises, tests, and case studies are made to give you practice with the key elements of a CMS audit, including ISO 37301 requirements, auditing principles, tools and techniques for gathering evidence, managing a team of auditors, conducting auditee interviews, reviewing documentation, drafting nonconformity reports, and creating the audit report itself.

You can take the exam meant to gauge your understanding and proficiency with CMS audits after successfully finishing the training course. You can apply for the "Certified ISO 37301 Lead Auditor" credential if you pass the exam. Your professional talents are validated by the "ISO 37301 Lead Auditor" certification, which shows that you possess the knowledge and abilities necessary to audit a CMS built on ISO 37301.

## Course Objectives:

At the end of this course the participants will be able to:

- Recognize the fundamental ideas behind a compliance management system CMS and its ISO 37301-based processes.
- Recognize how ISO 37301 relates to other standards and regulatory systems.
- Recognize the role of the auditor in organizing, conducting, and wrapping up a CMS audit in line with ISO 19011.
- Describe how to apply ISO 37301's specifications to a CMS audit
- Plan an audit, supervise a team of auditors, create nonconformity reports, and conduct audit follow-ups.

## Targeted Audience:

- Those wishing to conduct and direct CMS audits
- Managers or consultants that want to learn how to conduct a CMS audit
- Those in charge of ensuring that a business complies with ISO 37301 requirements
- Technical professionals attempting to get ready for a CMS audit
- Compliance officers and knowledgeable advisors

## Course Outline:

### Unit1: Introduction to the compliance management system CMS and ISO 37301

- Training program goals and elements

- Standards and governing structures
- Accreditation procedure
- Providing an introduction to compliance and the CMS based on ISO 37301
- Overview of Clauses 4 through 10 of ISO 37301

#### Unit2: Audit principles and the preparation for and initiation of an audit

- Basic auditing ideas and principles
- The effect of technology and trends in auditing
- data-driven auditing
- Auditing based on risk
- beginning of the auditing procedure
- 1st stage audit

#### Unit3: On-site audit activities

- Getting ready for stage 2 audit
- 2nd stage audit
- During the audit, communication
- Auditing techniques
- Planning audit test procedures

#### Unit4: Closing of the audit

- writing reports on audit findings and nonconformities
- Audit records and quality assurance
- Completing the audit
- Auditor evaluation of action plans
- The initial audit and beyond
- Directing a program for internal audits
- Completion of the training program

#### Unit5: Certification Exam