



Conference: Governance, Risk &
Compliance



14 - 18 October 2024
Madrid (Spain)



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REF: C2139 DATE: 14 - 18 October 2024 Venue: Madrid (Spain) - Fee: 5850 Euro

Introduction:

This conference on governance, risk, and compliance GRC is intended to provide you the skills you need to efficiently plan and improve integrated GRC activities throughout your business. Additionally, it provides you with the knowledge and skills necessary to comprehend your company's obligations, reduce risk, and put a suitable management structure in place for when a problem emerges.

This is a thorough and extremely practical training program that will be of great value to your business and play a crucial role in fostering an ethical culture, setting defensible standards of conduct, and reducing risk. There's no need to steer clear of the risk. Instead, it presents a chance for organizational innovation, creating fresh competitive advantages, resolving exposures that could endanger a business, and incorporating it into strategic planning.

With a focus on best practices, this training program helps participants improve essential operational skills. Executives at all levels will benefit from learning how to manage risk, foster a culture of compliance, and forge stronger bonds with important stakeholders.

The subjects covered in this training conference also include managing issues and breaches, creating and managing compliance projects, the compliance culture and ethics, and duties and laws.

Conference Objectives:

At the end of this conference, the participants will be able to:

- Recognize the principles of compliance, risk management, and governance GRC
- Recognize the regulatory context
- Legal Law
- Effective regulatory compliance risk management is the rationale for governance.
- Determine the organization's compliance and high-risk regions.
- Utilize risk-based thinking
- The compliance officer's and his team's responsibilities
- Create and carry out a strategic plan for governance, risk management, and compliance.
- Implement effective and efficient governance, risk management, and compliance procedures.
- use a risk-based auditing methodology

Targeted Audience:

- Executives and Board Members
- Compliance Officers / Managers and their Deputies
- GRC Professionals
- Legal Professionals
- Regulatory Representatives
- Company Secretaries
- Governance Professionals
- Risk Managers
- Internal Auditors

Conference Outline:

Unit1 : Environment for Compliance & Regulation

- Governance, Risk, and Compliance Introduction GRC
- A Summary of the Regulatory Landscape
- Regulatory Goals for Financial Services
- Important Rules Affecting International Financial Institutions
- Intergovernmental Agreement
- Recognize Your Regulator
- Environment for Compliance in Your Jurisdiction
- How Does Compliance Work in Your Organization?
- Compliance Risk Evaluations
- Fraud Risk Evaluation
- Corporate Risk Assessment
- Analysis of Compliance Gaps

Unit2: Risk

- Characteristics of the Major Risk Classes Main Classes of Risk that Businesses Must Manage
- the fundamental ideas behind each risk class
- Recognizing Risk Management Techniques
- Approach to Risk Management
- Internal Controls: Why You Need Them
- Risk Identification, Mitigation, and Control
- Methods for Risk Assessment
- Identify Desired and Unwanted Events and Develop the Appropriate Strategy

Unit3: Financial Crimes

- law against money laundering
- Stopping Fraud
- Cronyism and Bribery
- Insider trading and market manipulation
- The Risk-based Approach to Terrorist Financing and Money Laundering
- Understanding what to do with the information and who to escalate it to after identifying high-risk indicators
- Reports of Suspicious Activity SARs
- Sanctions

Unit4: Governance

- National and global corporate governance environments
- Knowing Your Organization's External and Internal Context
- Managing Stakeholders
- Roles of the Board of Directors
- Finance Committee
- Social Responsibility of Corporations
- Why Public Disclosure Is Important

- Supervisors and Openness
- best practices for communicating with the appropriate audience

Unit5: Concepts of the "Governance," "Risk," and "Compliance" Model GRC

- Governance, risk, and compliance principles
- Roles and responsibilities in governance, risk management, and compliance
- Integrated Approaches for Governance, Risk Management, and Compliance and their Key Facilitators
- Board and committee roles and responsibilities
- Choosing the Best Practices
- Creating a Successful Governance, Risk, and Compliance Model for Your Organization

Unit6: International Laws of Treaties and Territoriescepts of the "Governance

- Discuss international law before municipal courts as well as customary international law in detail
- International criminal law, crimes under international law and the sources of international criminal law
- Principles of international trade law and the International Trading System
- Describe the rules of international commercial law and international arbitration
- Principles of environmental law and the basic duties of the state